EFS-Web Receipt date: 03/07/2002 10092746 - GA TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No Under 37 CFR 1.97(6) Feldman 11-1-1 Feldman et al. In re Application of: Filing Date Examiner Group Art Unit Serial No. Not Yet Assigned Not Yet Assigned Herewith Not Yet Assigned Title: METHOD AND APPARATUS FOR AUTOMATICALLY CONTROLLING OPTICAL SIGNAL POWER IN OPTICAL TRANSMISSION SYSTEMS Address to Assistant Commissioner for Patents Washington, D.C. 20231 37 CFR 1.97(b) IX The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but 2. prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below. 37 CFR 1.97(d) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but III on or before payment of the issue fee and is accompanied by the statement and fee as indicated below. Required Statements and/or Fees Under 37 CFR 1.97(c) or (d) Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1)) No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2)) The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiency to deposit account number 20-0782. 37 CFR §1.704(d) Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR \$1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement. (M) all Dated: 3/7/02 Eamon J. Wall Express Mail Certificate "Express Mail" mailing label number: EL 849 340 511 41 Reg. No. 39,414 I certify that this document is being deposited on with the U.S. Postal Service as "Express Mail Post Office to

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Addressee" under 37 CFR §1.10 and is addressed to the

Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231

and clubs Signature of Person Mailing Correspondence

CAROL W. ISON Typed or Printed Name of Person Mailing Correspondence

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U.S. Department of Commerce, Patent and Trademark Office					Docket No. Feldman 11-1-1-2-8		Serial No. Not Yet Assigned		
(PTO Form 1449 modified) LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)									
					Applicant Feldman et al.		Confirmation No.:		
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Examiner Not Yet Assigned					Herewith		Not Yet Assigned		
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*Examiner Initial	Γ	Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date I	f	
	A1	6,304,347 B1	10/16/01	Beine et al.	359	110			
	A2	6,317,255 B1	11/13/01	Fatehi et al.	359	341.44			
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